



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ross L. Stevens  
Serial Number: 10/711,889  
Filed: October 12, 2004  
Group Art Unit: 3624  
Examiner: Unassigned

Confirmation No. 5888

For: METHOD AND SYSTEM FOR BLOCK TRADING OF SECURITIES

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450


**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.56 AND 37 C.F.R. § 1.97**

It is respectfully requested that the document(s) listed on the attached Form PTO/SB/08A be considered by the Patent and Trademark Office in the above-referenced application and made of record therein. Full text copies of the relevant documents are enclosed.

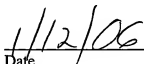
Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. 37 CFR § 1.97(e)(1).

Respectfully submitted,

By:

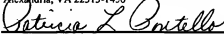
  
Steven B. Phillips  
Registration No. 37,911  
Attorney for Applicant  
Moore & Van Allen, PLLC  
430 Davis Dr., Suite 500  
Morrisville, NC 27560-6832  
Phone: 919-286-8000  
Facsimile: 919-286-8199

Date

  
1/12/06

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the US Postal Service as first class mail on January 12, 2006, in an envelope addressed to Commissioner of Patents, PO Box 1450, Alexandria, VA 22313-1450

  
Patricia L. Pontello